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## Co-evolution of atmospheres, life, and climate

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# Co-Evolution of Atmospheres, Life, and Climate

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## Abstract

After Earth's origin, our host star, the Sun, was shining 20–25% less brightly than today. Without greenhouse-like conditions to warm the atmosphere, our early planet would have been an ice ball, and life may never have evolved. But life did evolve, which indicates that greenhouse gases must have been present on early Earth to warm the planet. Evidence from the geological record indicates an abundance of the greenhouse gas CO<sub>2</sub>. CH<sub>4</sub> was probably present as well; and, in this regard, methanogenic bacteria, which belong to a diverse group of anaerobic prokaryotes that ferment CO<sub>2</sub> plus H<sub>2</sub> to CH<sub>4</sub>, may have contributed to modification of the early atmosphere. Molecular oxygen was not present, as is indicated by the study of rocks from that era, which contain iron carbonate rather than iron oxide. Multicellular organisms originated as cells within colonies that became increasingly specialized. The development of photosynthesis allowed the Sun's energy to be harvested directly by life-forms. The resultant oxygen accumulated in the atmosphere and formed the ozone layer in the upper atmosphere. Aided by the absorption of harmful UV radiation in the ozone layer, life colonized Earth's surface. Our own planet is a very good example of how life-forms modified the atmosphere over the planets' lifetime. We show that these facts have to be taken into account when we discover and characterize atmospheres of Earth-like exoplanets. If life has originated and evolved on a planet, then it should be expected that a strong co-evolution occurred between life and the atmosphere, the result of which is the planet's climate. Key Words: Early Earth—Biomarker—Atmospheres—Climate—Exoplanets. Astrobiology 10, 77–88.

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## 1. How Life Has Affected Earth's Atmosphere

TO ESTIMATE THE OCCURRENCE of terrestrial exoplanets and maximize the chance of finding them, it is crucial to understand the formation of planetary systems in general and of terrestrial planets in particular. A reliable formation theory should not only explain the Solar System, with small terrestrial planets within a few AU and gas giants farther out, but also the newly discovered planetary systems with close-in giant planets. Regarding the presently known exoplanets, it should be stressed that our current knowledge is strongly dependent upon the sensitivity limits of the current detection techniques (mainly the radial velocity method). With time and improved detection methods, the diversity of planets and orbits in exoplanetary systems will definitely increase and help to constrain the formation theory further.

Nitrogen on Earth was outgassed during the first hundred million years. Therefore, the atmospheric pressure was at least 0.8 bar in Earth's prebiotic atmosphere. If climate regulation via the carbonate-silicate cycle is assumed (Walker, 1977; Walker *et al.*, 1981), then the level of CO<sub>2</sub> is determined to a first approximation by the solar luminosity.

- Due to the faint luminosity of the early Sun (*e.g.*, Gough, 1981; Baraffe *et al.*, 1998), about 200–300 mbar of CO<sub>2</sub> would have been necessary to ensure a mean surface temperature above 273 K (Kasting *et al.*, 1993).

Molecular hydrogen was likely the third main component of Earth's prebiotic atmosphere; in an enhanced presence of CO<sub>2</sub> and if large amounts of hydrogen were absent in the upper atmosphere, the exosphere may have been relatively cool, which could have resulted in low escape rates of atomic hydrogen (Tian *et al.*, 2005). Hydrogen that was released by volcanoes but not efficiently lost to space must have accumulated to levels of the order of 200 mbar (Tian *et al.*, 2005). As water was present on Earth before 4.4 Gyr, water vapor was also an important constituent of the lower atmosphere. Other atmospheric species that may have been present at this time include CO and sulfur-bearing species like H<sub>2</sub>S released by volcanoes and possibly methane produced abiotically in hydrothermal vents.

### 1.1. A rise in methane?

Among the most primitive archaea found in the tree of life, as shown in Fig. 1, are the methanogens, some of which are autotrophic (consuming CO<sub>2</sub> and H<sub>2</sub>) and others heterotrophic (consuming organic molecules). Methane is a trace gas in the present Earth atmosphere (about 2 ppm), and its origin is biological except for a small fraction produced in hydrothermal systems.

- Methanogens existed almost certainly during the Archean and the Neoproterozoic, when the atmosphere was still anoxic (before 2.3 Gyr).

If we assume a biogenic release equal to the present day, the level of methane would have reached 100–1000 present atmospheric level (PAL) in the absence of atmospheric O<sub>2</sub> (Pavlov *et al.*, 2000). As today's methanogens can only grow in very limited environments where O<sub>2</sub> is absent and H<sub>2</sub> or organics are present, the production of methane by the biosphere was probably much higher in the early anaerobic

environment. Thus, very high levels of methane can be inferred, which lasted for more than 1 Gyr, between the emergence of methanogens (probably earlier than 3.4 Gyr) and the rise of O<sub>2</sub> (2.3 Gyr).

- Such high levels of CH<sub>4</sub> would have had a strong impact on climate and geochemical cycles. Methane is a very efficient greenhouse gas, and levels higher than 100 PAL would potentially have been enough to warm the surface above 0°C (Pavlov *et al.*, 2000), which implies that climate is no longer regulated by the carbonate-silicate cycle. The level of CO<sub>2</sub> could potentially have been extremely low if methane became the main greenhouse gas, and this seems to be confirmed by the studies of paleosols from the Late Archean and Neoproterozoic, in which no trace of carbonates were found (Rye *et al.*, 1995; Hessler *et al.*, 2004). Note that this does not take the effect of hazes into account. In the early atmosphere, the haze expected from CH<sub>4</sub> photolysis was probably weak if the CH<sub>4</sub>/CO<sub>2</sub> ratio did not exceed unity. If the ratio had exceeded unity, an organic haze would have formed (Pavlov *et al.*, 2003) such that it would have potentially cooled the atmosphere and regulated the greenhouse effect. Another important consequence of high levels of CH<sub>4</sub> is the transport of hydrogen, which would have dissociated from methane by high extreme UV radiation of the young Sun to the upper atmosphere and led to a much higher escape rate of hydrogen to space.

Thus, it might be possible that biological methanogens contributed to the oxidation of the atmosphere and lithosphere and enhanced the loss of H, making possible, later, the rise of oxygen (Catling *et al.*, 2001).

### 1.2. The buildup of O<sub>2</sub>

Geological records have revealed the chemical action of free oxygen after about 2.3 Gyr ago (Bekker *et al.*, 2004), except for some deposits from the deep ocean that remained anoxic for a few hundred million years or more (Rouxel *et al.*, 2005).

- It seems that the buildup of atmospheric O<sub>2</sub> occurred at least 400 Myr after the emergence of oxygen-producing bacteria capable of oxygenic photosynthesis (Fig. 2).

Indeed, 2.7 Gyr old molecular fossils are interpreted as the remains of primitive cyanobacteria and eukaryotes, which are producers and consumers of O<sub>2</sub>, respectively (Brocks *et al.*, 1999). Several reasons could explain this delay. First, the budget reaction of oxygenic photosynthesis also works in the reverse direction, since respiration and oxidation of organic sediments consume oxygen.

- Thus, the buildup of atmospheric O<sub>2</sub> requires the burial of the organics produced by photosynthesis.

This occurs today at a rate of 589 Tg O<sub>2</sub>/year (Catling and Claire, 2005), which means that the net release of the present O<sub>2</sub> atmospheric content (10<sup>21</sup> g O<sub>2</sub>) takes about 2 Myr (this is about 1000 times slower than the production of O<sub>2</sub> by photosynthesis). This rate is balanced by the oxidation of rocks, old sediments, and volcanic gases. The oxidation sinks for O<sub>2</sub> may have been much more efficient on early Earth, partly

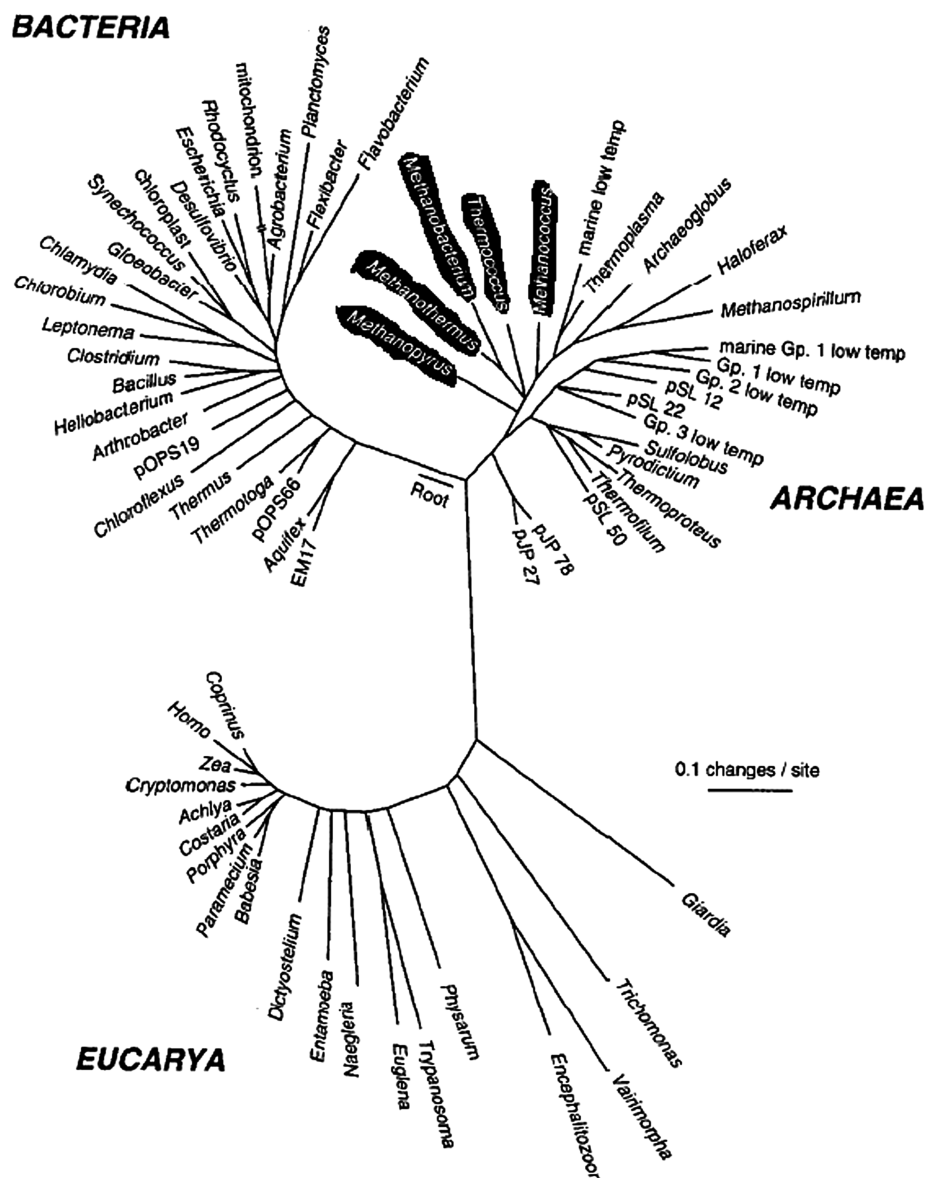


FIG. 1. Tree of life: in black are indicated some methanogens that are among the most primitive life-forms known (courtesy of N. Pace).

due to the presence of large amounts of reduced iron in the ocean and the crust (Walker, 1977).

- In the absence of efficient organic burial,  $O_2$  would not build up.

Some tectonic processes may have favored the burial of reduced carbon and allowed the rise of  $O_2$  by about 2 Gyr ago (Des Marais *et al.*, 1993). Another hypothesis has already been mentioned and is linked with the slow oxidation of Earth through the escape of hydrogen to space: in other words,

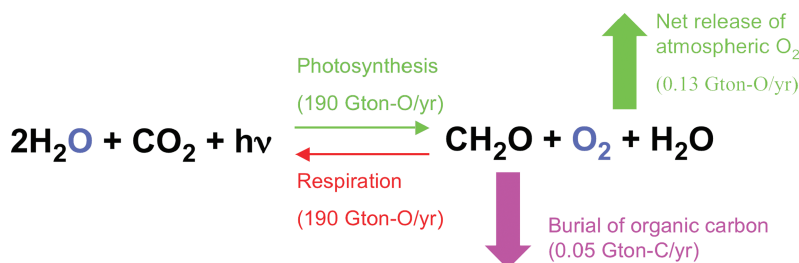


FIG. 2. Illustration of reactions that result in a release of  $O_2$  in Earth's atmosphere. Color images available online at [www.liebertonline.com/ast](http://www.liebertonline.com/ast).



- the Earth had to be depleted of a lot of hydrogen before an oxygen atmosphere could occur.

There might also be a climatic reason for the delay between the emergence of O<sub>2</sub> producers and the rise of O<sub>2</sub>. CH<sub>4</sub> has a very short photochemical lifetime in an O<sub>2</sub>-rich atmosphere, which means that a consequence of a buildup of O<sub>2</sub> is a decrease of the CH<sub>4</sub> atmospheric abundance and, thus, a fall of the mean surface temperature that could lead to a global freezing event.

Therefore, in a biosphere where CH<sub>4</sub> and O<sub>2</sub> producers both exist, the solar luminosity might be a strong constraint on the timing of the oxygenation (Selsis, 2002).

- All these constraints on the buildup of an O<sub>2</sub>-rich atmosphere are extremely important for astrobiological considerations, as some authors argue that complex multicellular life can only develop in an oxic environment (*e.g.*, Catling *et al.*, 2005).

Geological records have provided us with only qualitative information about the presence or absence of oxygen in the atmosphere. After the rise of O<sub>2</sub> and until the end of the Precambrian (about 550 Myr ago), it can only be inferred that the level of O<sub>2</sub> was above about 1% PAL (0.2% in abundance). Models based on the chemical and isotopic composition of sedimentary rocks of the Phanerozoic (from 550 Myr ago to now) allow us to trace back the evolution of the level of O<sub>2</sub> and show that it has varied roughly between 0.7 and 1.8 PAL (Berner *et al.*, 2003).

- The highest oxygen level (nearly twice that of today, which implies an atmospheric pressure 15% higher) is found to have occurred during the Permo-Carboniferous.

The principal cause of this enhanced level was the rise of large vascular land plants and the consequent increased global burial of organic matter. Higher levels of O<sub>2</sub> are consistent with the presence of Permo-Carboniferous giant insects.

### 1.3. The rise of the ozone layer

Ozone (O<sub>3</sub>) is produced by only one reaction:  $O + O_2 + M \rightarrow O_3 + M$ , where M is any compound (this is called a three-body or association reaction) and atomic oxygen comes from the photolysis of O<sub>2</sub>. On the other hand, O<sub>3</sub> is destroyed by photolysis and many trace compounds in the atmosphere (HO<sub>x</sub>, NO<sub>x</sub>, ClO<sub>x</sub>, ...).

- Therefore, the amount of O<sub>3</sub> in the atmosphere depends on the level of O<sub>2</sub> but also on the abundance of these trace gases on which we do not have enough information to infer the level of O<sub>3</sub> in the Precambrian.

The variation of O<sub>2</sub> alone during the last 550 Myr would not have changed significantly the level of O<sub>3</sub>, as O<sub>3</sub> has only a weak dependency on the O<sub>2</sub> level (Léger *et al.*, 1993; Segura *et al.*, 2003).

The abundance of O<sub>3</sub> was more certainly affected by changes in the content of trace gases. We do not know for sure whether, between the rise of O<sub>2</sub> and the beginning of the Phanerozoic, O<sub>3</sub> provided a UV shield for land life, but it can be inferred that it was present when the first lichens colonized the lands during the Ordovician (500–425 Myr ago).

## 2. Stellar Radiation and Climate: Implications for Atmospheric Biomarkers\*

It is well known from satellite observations and the output of coupled chemistry-climate models on Earth that important physical factors like atmospheric temperature and solar radiation affect atmospheric biomarker molecule concentrations over diurnal and seasonal timescales.

- Since it is expected that a terrestrial exoplanet-finding mission will discover terrestrial exoplanets for various stellar spectral types and stellar evolution phases, how different radiation inputs and climate feedbacks can affect biomarker signals must be studied.

As a first step, changes to biomarkers in Earth's atmosphere in response to variations related to orbital parameters, climate feedbacks, and the radiation and particle environment of the Sun or stars or both can be studied with the use of theoretical climate models (Fig. 3).

### 2.1. Ozone (O<sub>3</sub>)

Tropospheric O<sub>3</sub> makes up about 10% of the overhead column content in the terrestrial atmosphere. In the lower troposphere (0–8 km), O<sub>3</sub> is formed when volatile organic compounds such as CH<sub>4</sub> are oxidized in the presence of NO<sub>x</sub> (see overview in Crutzen, 1988), and it is destroyed by surface deposition. In the mid- to upper troposphere (8–18 km), stratospheric-tropospheric exchange affects O<sub>3</sub> concentrations. Here, stratospheric air may fold down into the troposphere and release O<sub>3</sub>-rich air. Stratospheric O<sub>3</sub> makes up about 90% of the O<sub>3</sub> layer that forms when O<sub>2</sub> is photolyzed (<242 nm) into O, which then reacts with O<sub>2</sub> to form O<sub>3</sub>.

- Why does an O<sub>3</sub> layer form at a specific altitude?

In the lower atmosphere, there is little O<sub>3</sub> because UV radiation is weak; in the upper atmosphere, there is little O<sub>2</sub> because there is little O<sub>2</sub>. Figure 4 shows that the trade-off between UV and O<sub>2</sub> availability with altitude leads to a sharply defined O<sub>3</sub> maximum in the tropical stratosphere at 10 mbar (30 km), which travels each year across the equator toward the summer hemisphere where photolysis rates are higher.

In the lower stratosphere (18–25 km, 100–50 mbar), chemical timescales that affect O<sub>3</sub> are long compared with dynamical timescales. Thus, O<sub>3</sub> behaves as a quasi-inert tracer of dynamical motion in that it is quasi-horizontal along isentropic (constant entropy) surfaces with typical stratospheric horizontal and vertical diffusion coefficients of 1000 and 0.015 m<sup>2</sup> s<sup>-1</sup>, respectively (*e.g.*, Waugh *et al.*, 1997).

In the upper stratosphere (35–45 km, 5–1 mbar), O<sub>3</sub> is controlled mainly by chemistry. The main source is  $O_2 + O + M \rightarrow O_3 + M$ , whereas important sinks are  $O + O_3 \rightarrow 2O_2$  and  $O_3 + h\nu (<320 \text{ nm}) \rightarrow O + O_2$ . These reactions were first discussed in depth by Chapman (1930) and are usually referred to as Chapman chemistry.

- On Earth, O<sub>3</sub> is formed in the tropics via Chapman chemistry and is then transported slowly (over several months) to mid- and high latitudes.

\*The term biomarker is used here to mean detectable atmospheric species or set of species whose presence at significant abundance strongly suggests a biological origin.

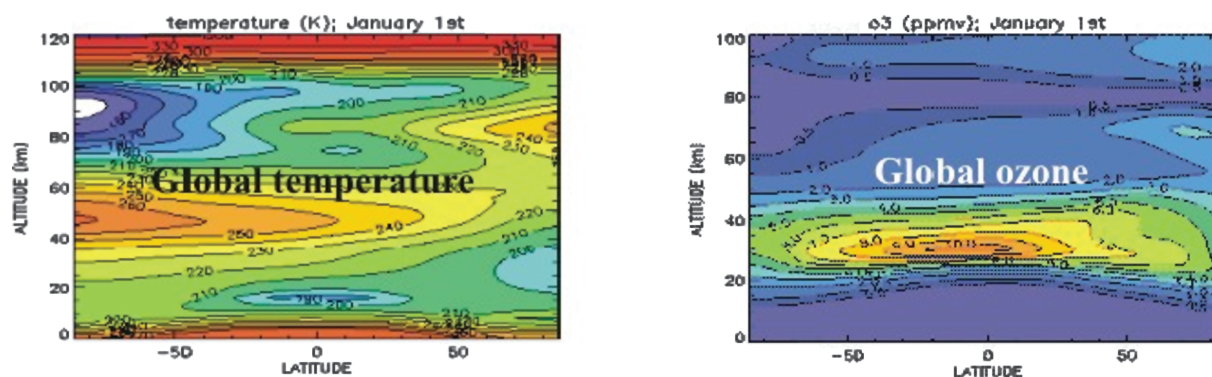
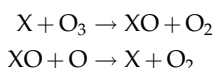


FIG. 3. Modelled zonal-mean temperature in Kelvin (left) and Ozone in parts per million by volume (right) for the Earth in January [the results were taken from the SOCRATES model at the Atmospheric Chemistry Division at the National Center for Atmospheric Research (Brasseur, 1993)]. Color images available online at [www.liebertonline.com/ast](http://www.liebertonline.com/ast).

Chapman chemistry alone would produce more than twice as much  $O_3$  as is measured. To explain the discrepancy, catalytic cycles that destroy  $O_3$  were proposed:



where X can be OH (Bates and Nicolet, 1950), NO (Crutzen, 1970), and Cl (Molina and Rowland, 1974). The cycles are referred to as  $HO_x$ ,  $NO_x$ , and  $ClO_x$  cycles. Stratospheric  $HO_x$  comes, for example, from  $H_2O$  degradation,  $NO_x$  from  $N_2O$  photolysis, and  $ClO_x$  from man-made chlorofluorocarbons.  $HO_x$  cycles are generally important in the tropical lower stratosphere,  $NO_x$  in the middle, and  $ClO_x$  in the upper stratosphere (e.g., Lary, 1997, and references therein).

- Stratospheric  $O_3$  features a natural cycle with around 20% more column  $O_3$  in spring than in autumn.

The spring maximum is associated with less photolytic  $O_3$  loss over the preceding winter. At polar latitudes, the natural cycle is opposed by the  $O_3$  hole that forms via chemical processes in spring with typically 30–60% loss in the column. Over short timescales (days to weeks), the  $O_3$  column in mid- to high latitudes is affected by atmospheric dynamics (Staehelin, 1998).

In the mesosphere (50–85 km), intense UV radiation leads to a diurnal cycle with daytime conversion of  $O_3$  to  $O^3P$ . Observations by Zommerfelds *et al.* (1989) suggest a factor of 3 variation in  $O_3$  at 65 km and a factor of 6 variation at 74 km.  $HO_x$  cycles are an important sink for mesospheric  $O_3$  (Summers *et al.*, 1996).

## 2.2. Water ( $H_2O$ )

On Earth, the vast majority of atmospheric  $H_2O$  resides in the troposphere.

- The region around the tropopause acts as a cold trap that prevents most  $H_2O$  from reaching the stratosphere.

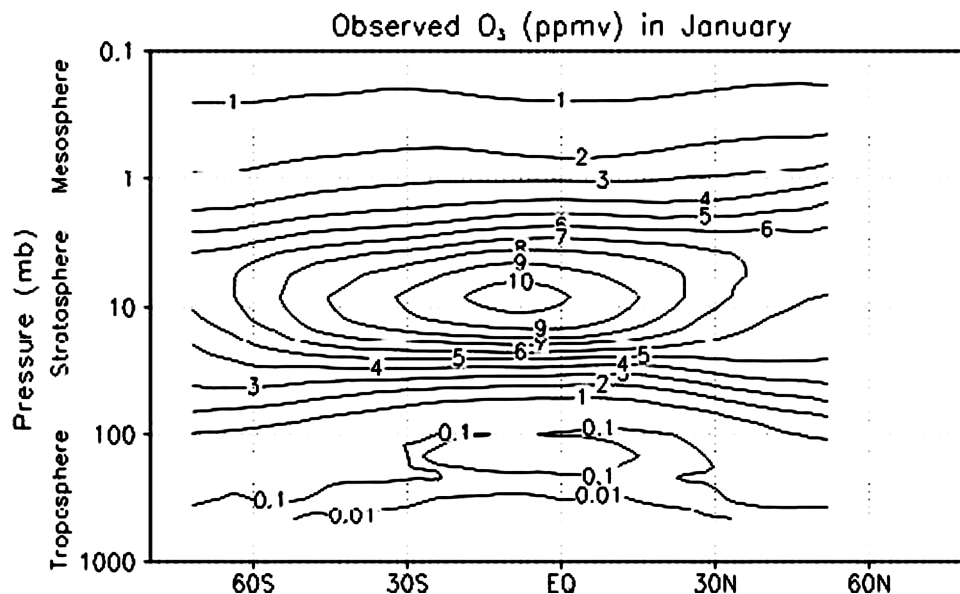


FIG. 4. Zonal-mean  $O_3$  concentration (parts per million by volume) shown as a function of altitude. Data represent the mean of 11 Januaries from 1992 to 2002, data level 2 version 19 measured by the Halogen Occultation Experiment aboard the Upper Atmosphere Research Satellite (Russell *et al.*, 1993).

Figure 5 shows the  $\text{H}_2\text{O}$  concentration, as does Fig. 4 for  $\text{O}_3$  as a function of zonal-mean height. Gettelman *et al.* (2000) reviewed processes that regulate the entry of  $\text{H}_2\text{O}$  from the troposphere into the stratosphere. Strong convective cloud events in the tropics achieve this if they penetrate the lower stratosphere. Methane oxidation is an important source of stratospheric  $\text{H}_2\text{O}$  and is initiated by reaction with hydroxyl (OH):  $\text{CH}_4 + \text{OH} \rightarrow \text{CH}_3 + \text{H}_2\text{O}$ .

Mesospheric  $\text{H}_2\text{O}$  features an annual cycle with amplitude of  $\sim 20\%$  and maximum in summer linked with stronger upward transport of damp air (Jackson *et al.*, 1998).

### 2.3. Methane ( $\text{CH}_4$ )

On Earth, between 80–90% of  $\text{CH}_4$  resides in the troposphere, where it is well mixed. Figure 6 shows  $\text{CH}_4$  concentration as a function of zonal-mean height. During summer, when  $\text{CH}_4$  oxidation is faster, the total column values are 5–10% lower than in winter.  $\text{CH}_4$  is removed in the troposphere and stratosphere by reaction with the hydroxyl (OH) radical. This is a major source of water in the stratosphere.

- About one-third of  $\text{CH}_4$ , that is,  $225 \times 10^{12}$  g C/year (225 Tg) of  $\text{CH}_4$  emissions arise naturally at the surface via, for example, geological activity (Etiope and Klusman, 2002) or methanogenic bacteria under anaerobic conditions (wetlands and oceans) (Intergovernmental Panel on Climate Change, IPCC, 2001; Krüger *et al.*, 2001).
- The remaining two-thirds arise from human activity (industry and agriculture).
- Stratospheric  $\text{CH}_4$  is rather inert, with a typical lifetime of 5–10 years, so it acts as a tracer of dynamical air motions.

This can be seen in Fig. 6, which shows contours curving upward (rising air) in the tropics and downward (sinking air) toward the pole. This is the so-called equator-to-pole circulation of the Earth, which will be discussed later. Mesospheric  $\text{CH}_4$  is low due to stratospheric oxidation.

### 2.4. Nitrous oxide ( $\text{N}_2\text{O}$ )

Like  $\text{CH}_4$ ,  $\text{N}_2\text{O}$  is most abundant in the troposphere. It is extremely inert with a lifetime of around 150 years and, hence, is a good indicator of dynamical motions.

- $7\text{--}14 \times 10^{12}$  g N/year  $\text{N}_2\text{O}$  are emitted into the atmosphere (Oonk and Kroeze, 1998), mainly via denitrifying bacteria.

In the stratosphere, about 95%  $\text{N}_2\text{O}$  is removed via photolysis in the stratosphere. The remaining 5% reacts with  $\text{O}^1\text{D}$  to form NO.

- A breakdown of  $\text{N}_2\text{O}$  contributes to  $\text{O}_3$  destruction via  $\text{NO}_x$  cycles.

Little  $\text{N}_2\text{O}$  survives into the mesosphere, where concentrations are about 500 times less than they are in the stratosphere.

### 2.5. Atmospheric dynamics and temperature

On Earth, the total  $\text{O}_3$  column is sensitive to atmospheric dynamics because a large amount of  $\text{O}_3$  resides between 18–25 km, where chemical loss is slow. Other biomarkers occur mainly in the troposphere, where they are well mixed, so their column values are less sensitive to dynamical influence.

- Enhanced solar heating in the tropics coupled with Earth's rotation sets up an equator-to-pole flow called world circulation, meridional circulation, or Brewer-Dobson (Brewer, 1949) circulation (Holton, 2004).

On Earth, in a 10-year cycle, air enters the lower stratosphere in the tropics, travels up into the summer mesosphere, then back across the equator into the winter hemisphere, moving poleward and downward. The circulation is stimulated by atmospheric waves (Haynes, 1991), for example, gravity waves that can form when air flows over mountains or planetary

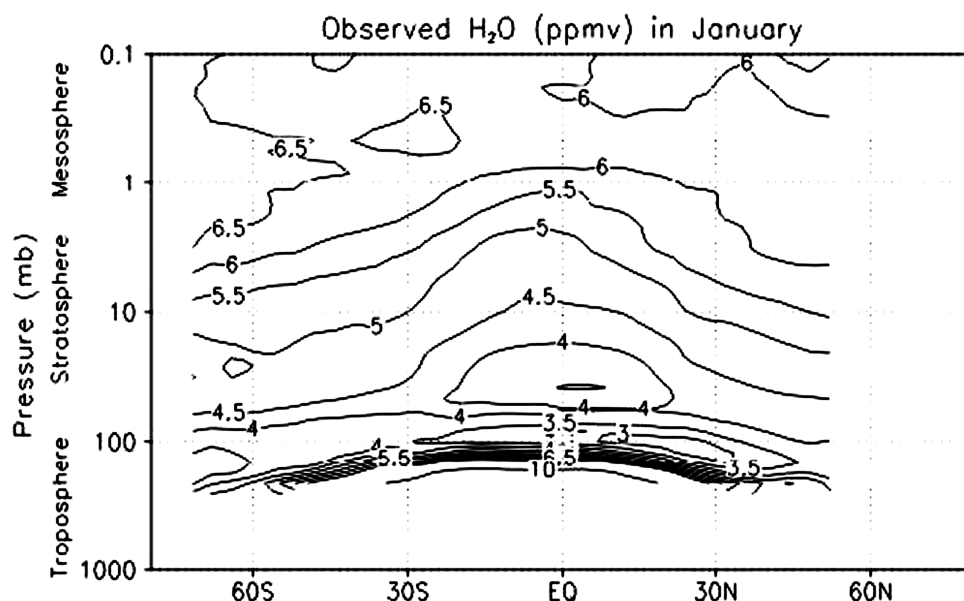


FIG. 5. As for Figure 4 but for water concentration in parts per million by volume shown in Earth's atmosphere as a function of zonal-mean height.

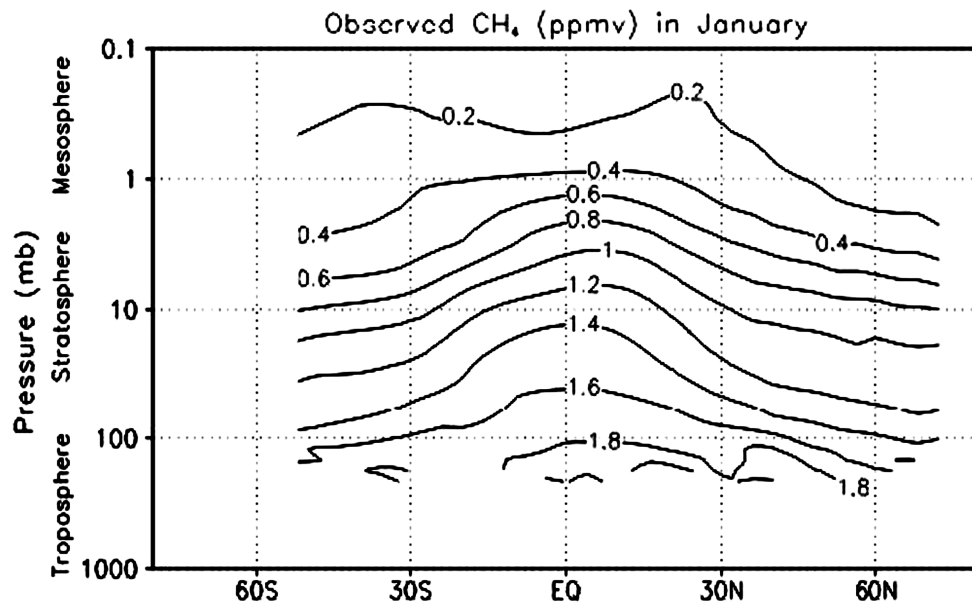


FIG. 6. As for Figure 4 but for methane concentration in parts per million by volume shown in Earth's atmosphere as a function of zonal-mean height.

waves which form via conservation of potential vortices when air moves in the north-south plane on a rotating globe.

Figure 7 (left) shows zonal-mean temperature in Kelvin as a function of altitude. Up to 100 mbar air cools due to adiabatic expansion. Above that height, radiative heating, mainly from  $O_3$ , leads to a temperature inversion and marks the start of the stratosphere. Between 1–0.1 mbar, this heating subsides, and cooling with height marks the start of the mesosphere.

### 3. Implications for Terrestrial Exoplanets

Earth's climate is nonlinear with complex feedbacks. It is therefore challenging for current climate models to reproduce accurately, for example, the  $O_3$  response to climate change over the past 20 years (see the IPCC Third Assessment Report on Climate Change, 2001, for an overview). Several groups have investigated Earth's atmospheric evolution (Pavlov *et al.*, 2000; Kasting and Catling, 2003) from reducing (*i.e.*, non-oxidizing) atmospheres for which differing levels of  $CH_4$  and  $CO_2$  are assumed to the present-day atmosphere. Spectral modes of these evolution scenarios show different detectable features over Earth's geological history in low resolution (Kaltenegger *et al.*, 2007).

*Ozone in the troposphere:* sensitivity computational model runs for varying solar flux as well as  $CH_4$ ,  $O_2$ , and  $NO_x$  concentrations appropriate to the Proterozoic period of early Earth indicated that the tropospheric  $O_3$  column could sometimes constitute appreciably more than today's 10% of the total column (Grenfell *et al.*, 2006). The additional  $O_3$  was produced via the photochemical smog mechanism, in which  $CH_4$  oxidation is catalyzed by  $NO_x$ . The mechanism therefore favors terrestrial exoplanets with:

- weak stratospheric  $O_3$ , therefore high UV levels that reach the troposphere;

- abundant  $CH_4$  from volcanoes, methanogens, or both; and
- abundant  $NO_x$  (from lightning and the action of cosmic rays on  $N_2/O_2$  atmospheres).

*Ozone photolysis effect:* For Earth, the standard stratospheric Chapman chemistry analysis assumes both a rapid inter-conversion of O and  $O_3$ , and O in a steady state so that rate of O production equals rate of O loss,  $j(O_2) = k(O)(O_3)$ ,  $(O_3) = j(O_2)/(O)k$ , where  $j$  and  $k$  are photolysis and reaction coefficients, respectively.

- For terrestrial exoplanets, these assumptions may not apply because the rate of O production and O loss depend, for example, on temperature and UV radiation; therefore, one has to apply in first steps a simpler approach.

The reaction  $O + O_3 \rightarrow 2O_2$  quickly slows at low temperatures; therefore, one can assume a cold case, in which this reaction can be neglected. In such a case,  $O_3$  is destroyed directly by  $h\nu < 320$  nm but is formed when  $O_2$  dissociates at  $h\nu < 242$  nm (UVC). Therefore, under such conditions, an interesting quantity is the ratio,  $R = [UVB (280\text{--}315 \text{ nm}) / UVC (100\text{--}280 \text{ nm})]$ .

- If, on a terrestrial exoplanet, the atmospheric radiative transport differs potentially from Earth-like conditions, then regions that have small  $R$  values would experience weak  $O_3$  loss (from direct  $O_3$  photolysis in the UVB) but strong  $O_3$  formation (from  $O_2$  photolysis hence  $O_3$  formation in the UVC).

At the top of the atmosphere,  $R$ , is:

- $R = 2.31$  (Sun),  $R = 2.98$  (K2V), and  $R = 0.92$  (F2V) stars (Segura *et al.*, 2003).

More studies are required to explore this theme further and establish where, or if, such effects can be valid.

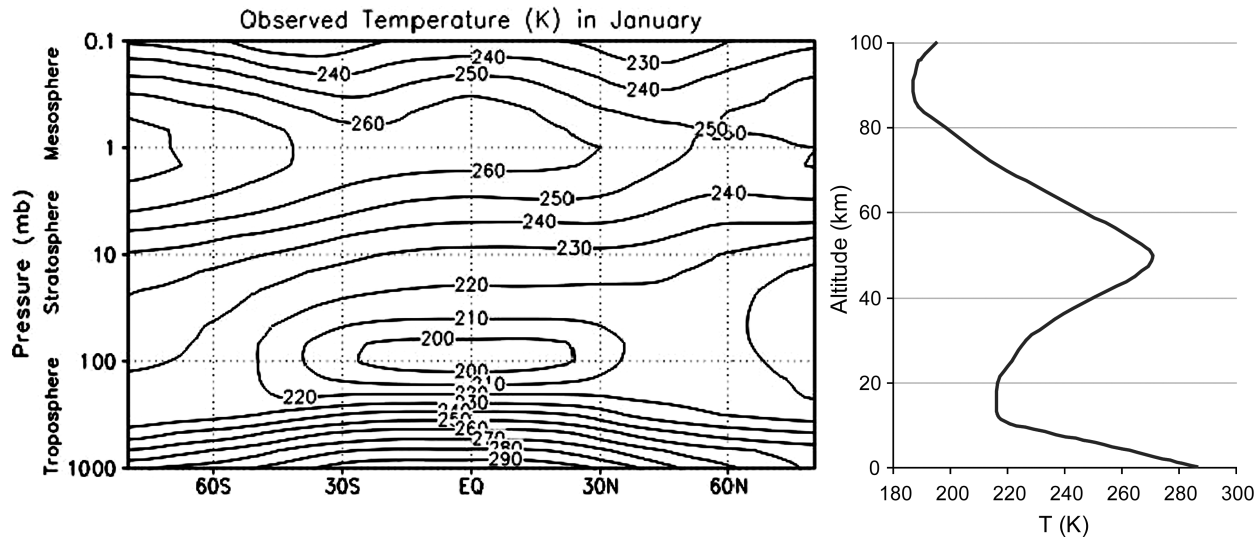


FIG. 7. Zonal-mean temperature (left) in Kelvin shown as a function of altitude. Data shows the mean January value from 1991 to 2002, derived from various observational platforms and compiled as part of the Stratospheric Processes and their Role in Climate Program by Bill Randel at the University Corporation for Atmospheric Research (Randel *et al.*, 2004). Right: average temperature profile of US1978 standard atmosphere.

*Ozone temperature effect:* That  $O_3$  features a negative correlation with temperature has long been recognized (WMO, 1998). This behavior arises because the  $O_3$  sink,  $O + O_3 \rightarrow 2O_2$ , speeds up considerably as temperature increases. The temperature dependency can be seen from the rate constant of the reaction,  $k$ , where  $k = 8.0 \times 10^{-12} \exp(-2060/T)$  (Sander *et al.*, 2003). Substituting  $T = 200, 250, 300$  K implies  $k = (2.7, 21.1, \text{ and } 83.4) \times 10^{-16} \text{ molecules}^{-1} \text{ cm}^3 \text{ s}^{-1}$ , respectively, that is,

- It can be expected that a large increase in the  $O_3$  sink occurs as  $T$  increases.

This result is relevant to warm or hot exoplanets, for example, close to the inner edge of the habitable zone (HZ) or with strong greenhouse warming, or both.

*Ozone and  $NO_x$ :* Exoplanets in the HZ with M-dwarf hosts may have weak magnetospheres and, therefore, higher levels of cosmic ray bombardment. Grießmeier *et al.* (2005) suggested that the percent of cosmic rays that reach such a planet's surface be a factor of 2–10 greater, compared with Earth, assuming the two worlds have similar surface areas.

- Enhanced cosmic ray events imply increased atmospheric  $NO_x$  loadings because the cosmic ray particles can lead to ionization of  $N_2$  (Siskind *et al.*, 1997).
- Such events on Earth typically lead to a 50–100% increase in  $NO_x$  in the upper stratosphere together with 10–30%  $O_3$  loss (Jackman *et al.*, 2000; Quack *et al.*, 2001; Sinnhuber *et al.*, 2003).

The amount of total  $O_3$  column loss depends on how easily  $NO_x$  can propagate down into the mid-stratosphere, where  $O_3$  is most abundant.

*Water and  $HO_x$ :* Kasting *et al.* (1993) suggested that, near the inner edge of the HZ, all tropospheric  $H_2O$  may eventually overcome the tropopause cold trap and flood into the stratosphere.

- In such a scenario, destruction via  $HO_x$  cycles would then likely destroy most of the  $O_3$  layer.

In general, this mechanism suggests that a temperature increase at the surface leads to faster evaporation and, hence, higher atmospheric humidity. The effect is difficult to quantify in climate models due to opposing mechanisms (*e.g.*, more  $H_2O$  leads to more clouds, which can produce a cooling effect) and interfering processes (*e.g.*, stratospheric  $H_2O$  also comes from  $CH_4$  oxidation, the cold trap temperature is influenced by other factors) (IPCC, 2001). One can anticipate that ocean-world (Léger *et al.*, 2003) exoplanets are potentially most vulnerable to such a mechanism.

In addition to forming  $NO_x$ , cosmic rays may also lead to atmospheric  $HO_x$  formation. Solomon *et al.* (1981) suggested the mechanism for this, which involves an  $H_2O$  ion cluster. Similar to the  $NO_x$  effect discussed previously, the amount of total  $O_3$  column loss depends on how easily  $HO_x$  can propagate down into the mid-stratosphere, where  $O_3$  is most abundant.

- $H_2O$  is particularly sensitive to Lyman- $\alpha$  (121.6 nm) radiation, whereby it rapidly photolyzes to form  $HO_x$ . Chandra *et al.* (1997) suggested 30–40% and 1–2% change in terrestrial  $H_2O$  at 80 km and 60 km, respectively, in response to Lyman- $\alpha$  changes.

Absolute Lyman- $\alpha$  fluxes are especially large for A stars (and, to a lesser extent, F stars). They are about 30 times larger during the first 100 Myr after G-type stars have arrived at the zero-age main sequence (Ribas *et al.*, 2005), so their exoplanets are the most likely candidates to lose their atmospheric  $H_2O$  via this mechanism, assuming a low-opacity atmosphere.

*Ozone and dynamics:* Terrestrial exoplanets with stronger differential heating gradients compared to those of Earth will feature a stronger equator-to-pole circulation.

- On Earth, for example, slowing this circulation would imply a change in the amount of  $O_3$  found in the tropics (where it is formed) relative to the amount of  $O_3$  at higher latitudes, to where it is transported. Other effects like strong temperature gradients would potentially influence such effects (Spiegel *et al.*, 2009).

Orographic features (mountains) also play an important role. On Earth, the Northern Hemisphere is much more mountainous than the Southern Hemisphere. Surface airflow over mountains leads to waves being excited, which carry heat and momentum up into the stratosphere and stimulate the equator-to-pole circulation, with the result that the Northern Hemisphere winter stratosphere is typically 10–20 K warmer than the Southern Hemisphere winter stratosphere (WMO, 1998). There is, however, no data for this effect over Earth's history, so the effect is difficult to quantify for different topologies. On a cloud-free planet with surface features like those of Earth, the diurnal flux variation in the visible caused by different surface features rotating in and out of view could be high, assuming hemispheric inhomogeneity (Ford *et al.*, 2001; Seager and Ford, 2002). When the planet is only partially illuminated, compared to a fully illuminated case, a more concentrated signal from surface features could be detected as they rotate in and out of view.

**Methane ( $CH_4$ ):** Methanogen sources have quite specific temperature (and UV) dependencies that vary with species and environment (*e.g.*, soil type) (Nozhevnikova *et al.*, 2003). On early Earth, atmospheric sinks and geological sources may have been much stronger than they are today (Kasting and Catling, 2003); therefore,

- terrestrial exoplanets with fast tectonic activity and low  $O_2$  may favor higher  $CH_4$ , while
- terrestrial exoplanets with some  $O_2$  may favor fast  $CH_4$  removal via OH.

**Nitrous oxide ( $N_2O$ ):**  $N_2O$  is formed via denitrification in soil, which, like methanogenesis, is dependent on the temperature and the soil type (Li *et al.*, 1992).

- $N_2O$  is destroyed mainly via UV radiation, which suggests that strong UV fluxes could lead to strong photolytic  $N_2O$  loss.

It is probably not useful to apply derived physical  $N_2O$  relationships to exoplanet conditions since responses (*e.g.*, of denitrifying bacteria) can be species specific and finely tuned to Earth conditions.

Biomarker molecules in Earth's atmosphere respond to complex feedbacks, which sometimes produce widely differing results, for example, in chemistry-climate model responses (IPCC, 2001). Nevertheless, it can be assumed that the main influences are sufficiently well known to justify a discussion of biomarkers on Earth-like exoplanets [see Kaltenegger *et al.*, 2010a (this volume)].

- $O_3$  is clearly sensitive to UV radiation in that it is both formed and destroyed in different regions of UV radiation, which depends on the radiative transfer in the atmosphere.
- Furthermore,  $O_3$  concentrations are inversely proportional to temperature due to an increase in the sink:

$O + O_3 \rightarrow 2O_2$ . This will be especially important for terrestrial exoplanets near the inner HZ or with strong greenhouse warming.

- $O_3$  is destroyed catalytically by  $HO_x$ ,  $ClO_x$ , and  $NO_x$ —the latter may be particularly important for terrestrial exoplanets orbiting the HZ of M and some K dwarfs.

Ultimately, more-sensitive computer simulations that use atmospheric models are required to separate out the influences of the various feedbacks.  $H_2O$ ,  $CH_4$ , and  $N_2O$  present additional measurement difficulties since they mainly exist in the troposphere below the cloud base.

- Warming the troposphere leads to more  $H_2O$  overcoming the cold trap at the tropopause and hence reaching the stratosphere. If this process proceeds too quickly, it will lead to removal of the  $O_3$  layer via  $HO_x$  destruction.

Estimating changes in  $CH_4$  and  $N_2O$  sources on terrestrial exoplanets is difficult since, on Earth, they vary from organism to organism and are adapted to their particular biological niche.

- Regarding  $H_2O$ ,  $CH_4$ , and  $N_2O$  sinks, enhanced stellar fluxes are expected to lead to a faster direct photolytic sink, as well as faster removal via  $(CH_4 + OH)$  and  $(N_2O + O^1D)$ , since the radicals OH and  $O^1D$  are formed photolytically.

Regarding seasonal and diurnal chemical cycles, these are most easily detected for  $O_3$  (again, because other biomarkers occur mainly in the troposphere, where photolysis rates are low). On Earth,  $O_3$  column seasonal variations are in the region of about 20% for the natural cycle at midlatitudes and about 50% for human-induced  $O_3$ -hole variations poleward of about  $60^\circ$ .

- Clearly, in the case of terrestrial exoplanets, the strength of the biomarker seasonal signal will depend on the viewing geometry. Note that first-generation space missions will observe disk-integrated spectra of exoplanets with no spatial resolution.

If we view only one hemisphere, then the seasonal signal will be strong compared to instances where both hemispheres are viewed simultaneously. On Earth, a small seasonal  $O_3$  signal still persists, even if we average data over both hemispheres. Austin (2002) suggested a 3% cycle in mean observed column  $O_3$  (averaged from  $65^\circ S$  to  $65^\circ N$ , *i.e.*, excluding the effects of the man-made  $O_3$  hole), with peak values in the Northern Hemisphere spring (which does not translate into a feature that is remotely detectable). This result is related to hemispheric asymmetry—the meridional circulation is stronger in the north due to more wave stimulation from mountain ranges.

However, it is entirely feasible that seasonal and daily biomarker amplitudes for many terrestrial exoplanets could be greater than those of Earth. Larger obliquity, for example, leads to stronger seasonal variations in stellar flux and temperature. Increases in ellipticity lead to seasons of unequal duration, as on Mars, and larger differences between closest and farthest approach also lead to large amplitudes in seasonal fluxes and temperatures.

Bertrand *et al.* (2002) and Williams and Pollard (2003) performed sensitivity runs for an Earth-like exoplanet with changed orbital parameters (*e.g.*, increasing ellipticity), using a climate model. The latter study suggested an intensely strong seasonality, for example, equatorial surface temperatures varying from zero in winter up to 80°C in summer that would potentially be a much stronger influence on chemical variation.

#### 4. Conclusions

Studying biomarkers helps us understand the potential chemistry on exoplanets, different chemical cycles, as well as observed spectra. It is worth exploring the parameter space of biomarkers because we see only a very limited range here on Earth.

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#### Abbreviations

HZ, habitable zone; PAL, present atmospheric level.

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